(TXLW\ LQ $WKOHWLFV

,QVWLWXWLRQ ,QIRUPDWLRQ

,QVWLWXWLRQ 1RUWKHDVW 7H[DV &RPPXQLW\ &ROOHJH 8VHU ,' (

5HJLVWUDWLRQ

5HTXLUHG ILHOGV DUH LQGLFDWHG ZLWK DVWHULVNV

1RUWKHDVW 7H[DV &RPPXQLW\ &ROOHJH	
)LUVW 1DPH	7RQL
/DVW 1DPH	/D%HII
7LWOH	'LUHFWRU ,QVWLWXWLRQDO (IIHFWLYHQHVV 5HVHDUFK
$GGUHVV	3 2 %R[
$GGUHVV	
&LW\	0W 3OHDVDQW
6WDWH	7;
=LS	
3KRQH	
([WHQVLRQ	
)D[	
(PDLO $GGUHVV	
&RQILUP (PDLO $GGUHVV	
&RPPHQW	3OHDVH XVH WKLV ER[LI \RX ZRXOG OLNH WR SURYLGH DGGLWLRQDO FRQW WR UHDFK \RX LI WKHUH DUH TXHVWLRQV DERXW \RXU VXUYH\ $OVR LI WKH SOHDVH SURYLGH WKH QDPH DQG FRQWDFW LQIRUPDWLRQ IRU WKH SHUVRQ GHVN VWDII RQO\ ,W ZLOO QRW EH VHHQ E\ WKH SXEOLF

,GHQWLILFDWLRQ

3OHDVH HQWHU UHYLHZ DOO DSSOLFDEOH LQIRUPDWLRQ 5HTXLUHG ILHOGV DUH LQGLFDWHG

*HQHUDO ,QIRUPDW

,QVWLWXWLRQ 1DPH	1RUWKHDVW 7H[DV &RPPXQLW\ &ROOHJH
$GGUHVV	)0
$GGUHVV	
&LW\	0RXQW 3OHDVDQW
6WDWH	7;
=,3 &RGH	
7HOHSKRQH	([W

$WKOHWLF 'HSDUWP

$WKOHWLF 'LUHFWRU	'U -RVK 6WHZDUW
$GGUHVV	32 %R[
$GGUHVV	
&LW\	0RXQW 3OHDVDQW
6WDWH	7;
=,3 &RGH	
7HOHSKRQH	([W

&KLHI $GPLQLVWUD

&KLHI $GPLQLVWUDWLYH 2IILFHU V 1DPH	
7LWOH	
7HOHSKRQH	([W
)D[	
(PDLO $GGUHVV	

(TXLW\ LQ $WKOHWLFV

,QVWLWXWLRQ 1RUWKHDVW 7H[DV &RPPXQLW\ &ROOHJH
8VHU ,' (

6FUHHQLQJ 4XHVWLRQV

3OHDVH DQVZHU WKHVH TXHVWLRQV FDUHIXOO\ DV \RXU UHVSRQVHV ZLOO G
DSSURSULDWH IRU \RXU LQVWLWXWLRQ

,QVWLWXWLRQ 1RUWKHDVW 7H[DV &RPPXQLW\ &ROOHJH
8VHU ,' (

$WKOHWLFV 3DUWLFLSDWLRQ 0HQ V DQ

(QWHU WKH QXPEHU RI SDUWLFLSDQWV DV RI WKH GD\ RI WKH ILUVW VFKHG

9DUVLW\ 7HDPV	0HQ V 7HDPV	:RPHQ V 7HDPV

+HDG &RDFKHV 0HQ V 7HDPV

)RU HDFK PHQ V WHDP LQGLFDWH ZKHWKHU WKH KHDG FRDFK LV PDOH RU IH
WLPH EDVLV DQG ZKHWKHU WKH FRDFK ZDV HPSOR\HG E\ WKH LQVWLWXWLR
EDVLV E\ HQWHULQJ D LQ WKH DSSURSULDWH ILHOG
7KH 6ZLPPLQJ DQG 'LYLQJ FRPELQHG ILHOGV DOORZ XS WR KHDG FRDFKH
FRPELQHG ILHOGV DOORZ XS WR

	0DOH +HDG &RDFKHV				)HPDOH +HDG &RDFKHV			
9DUVLW\ 7HDPV	$VVLJQHG WR 7HDP RQ D)XOO 7LPH %DVLV	$VVLJQHG WR 7HDP RQ D 3DUW 7LPH %DVLV	3DUW 7LPH ,QVWLWXWLRQDO (PSOR\HH RU D 9ROXQWHHU	3DUW 7LPH ,QVWLWXWLRQDO (PSOR\HH RU D 9ROXQWHHU	$VVLJQHG WR 7HDP RQ D)XOO 7LPH %DVLV	$VVLJQHG WR 7HDP RQ D 3DUW 7LPH %DVLV	3DUW 7LPH ,QVWLWXWLRQDO (PSOR\HH	3DUW 7LPH ,QVWLWXWLRQDO (PSOR\HH RU 9ROXQWHHU
%DVHEDOO								
5RGHR								
6RFFHU								
&RDFKLQJ 3RVLWLRQ 7RWDOV								
&$9($7								

,QVWLWXWLRQ 1RUWKHDVW 7H[DV &RPPXQLW\ &ROOHJH
8VHU ,' (

+HDG &RDFKHV 6DODULHV 0HQ V DQG :

(QWHU RQO\ VDODULHV DQG ERQXVHV WKDW \RXU LQVWLWXWLRQ SD\V KHDG EHQHILWV RQ WKLV VFUHHQ
'R QRW LQFOXGH YROXQWHHU FRDFKHV LQ FDOFXODWLQJ WKH DYHUDJH VDO
)RU KHOS FDOFXODWLQJ WKH)7(WRWDO FOLFN RQ WKH ,QVWUXFWLRQV OLQ

0HQ V 7HDPV :RPHQ V 7HDPV

$YHUDJH $QQXDO ,QVWLWXWLRQDO 6DODU\ SHU +HDG &RDFKLQJ 3RVLWLRQ IRU FRDFKLQJ GXWLHV RQO\

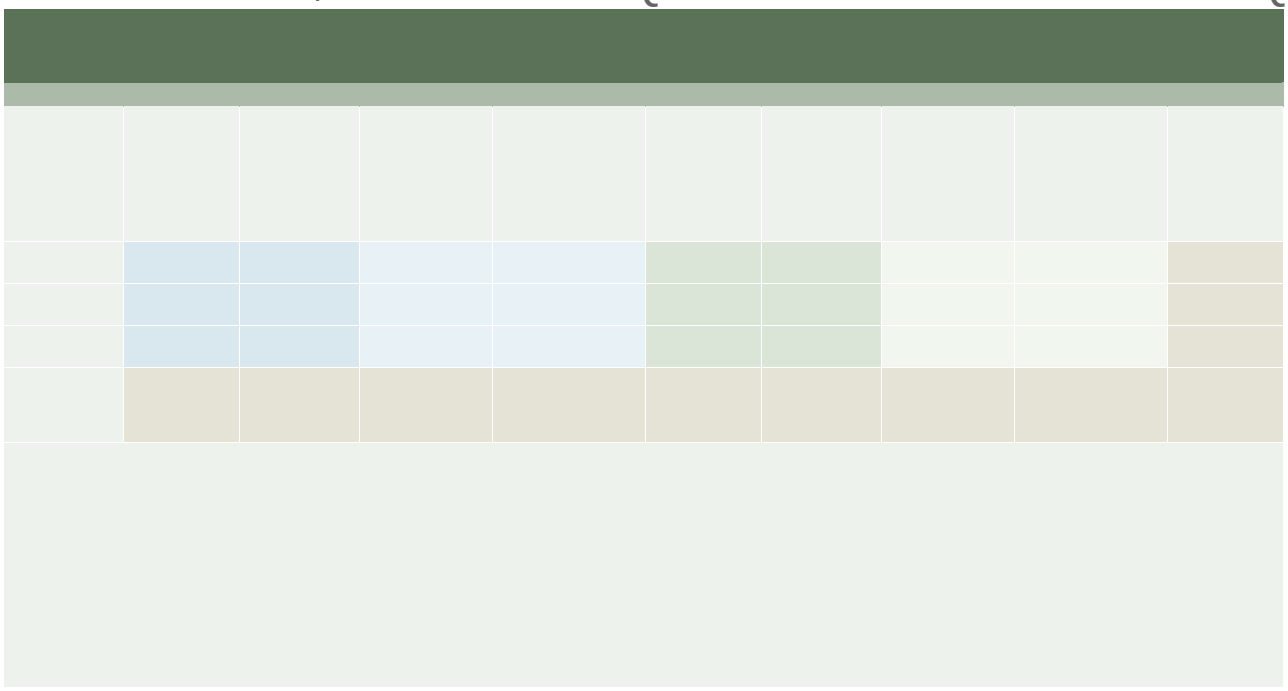
1XPEHU RI +HDG &RDFKLQJ 3RVLWLRQV 8VHG WR &DOFXODWH WKH $YHUDJH

1XPEHU RI 9ROXQWHHU +HDG &RDFKLQJ 3RVLWLRQV 'R QRW LQFOXGH WKHVH FRDFKHV LQ \RXU VDODU\ RU)7(FDOFXODWLRQV

$YHUDJH $QQXDO ,QVWLWXWLRQDO 6DODU\ SHU)XOO WLPH HTXLYDOHQW)7(

6XP RI)XOO 7LPH (TXLYDOHQW)7(3RVLWLRQV 8VHG WR &DOFXODWH WKH $YHUDJH &$9($7

$VVLVWDQW &RDFKHV 0HQ V 7HDP



$VVLVWDQW &RDFKHV :RPHQ V 7HD

)RU HDFK ZRPHQ V WHDP LQGLFDWH ZKHWKHU WKH DVVLVWDQW FRDFK LV P
SDUW WLPH EDVLV DQG ZKHWKHU WKH FRDFK ZDV HPSOR\HG E\ WKH LQVWLV
EDVLV E\ HQWHULQJ D LQ WKH DSSURSULDWH ILHOG

	0DOH $VVLVWDQW &RDFKHV				)HPDOH $VVLVWDQW &RDFKHV				
9DUVLW\ 7HDPV	$VVLJQHG WR)XOO 7LPH %DVLV	$VVLJQHG WR D 3DUW 7LPH %DVLV	$VVLJQHG WR ,QVWLWXWLRQ (PSOR\HH	3DUW 7LPH ,QVWLWXWLRQ (PSOR\HH RU D 9RORQWHHU	$VVLJQHG WR)XOO 7LPH %DVLV	$VVLJQHG WR D 3DUW 7LPH %DVLV	$VVLJQHG WR ,QVWLWXWLRQ (PSOR\HH	3DUW 7LPH ,QVWLWXWLRQ (PSOR\HH RU D 9RORQWHHU	7RWDO $VVLVWDQW &RDFKHV
5RGHR									
6RFFHU									
6RIWEDOO									
&RDFKLQJ 3RVLWLRQV 7RWDOV									
&$9($7									

$VVLVWDQW &RDFKHV 6DODULHV 0HQ V

(QWHU RQO\ VDODULHV DQG ERQXVHV WKDW \RXU LQVWLWXWLRQ SD\V DVVLV
LQFOXGH EHQHILWV RQ WKLV VFUHHQ

[redacted]VDO

)RU KHOS FDOFXODWLQJ WKH)7(WRWDO FOLFN RQ WKH ,QVWUXFWLRQV OLQ

0HQ V 7HDPV :RPHQ V 7HDPV

$YHUDJH $QQXDO ,QVWLWXWLRQDO 6DODU\ SHU $VVLVWDQW &RDFKLQJ 3RVLWLRQ IRU
FRDFKLQJ GXWLHV RQO\ 2 0 .05 re f 1 171 rg 390.91 6m1499.75305.24 6D Q W U D J3451 rg 20.25 L Q F.f5013 313 313 3171 1 r4.8g E.93965

2SHUDWLQJ *DPH 'D\ ([SHQVHV 0HQ V D 7HDPV E\ 7HDP

2SHUDWLQJ H[SHQVHV DUH DOO H[SHQVHV DQ LQVWLWXWLRQ LQFXUV DWWUL DWKOHWLF FRQWHVWV FRPPRQO\ NQRZQ DV JDPH GD\ H[SHQVHV IRU $ / HTXLSPHQW IRU FRDFKHV WHDP PHPEHUV VXSSRUW VWDII LQFOXGLQJ EXW RWKHUV DQG % 2IILFLDOV

)RU D VSRUW ZLWK D PHQ V WHDP DQG D ZRPHQ V WHDP WKDW KDYH D FRPEL QHG EXGJHW FO 5HSRUW DFWXDO QXPEHUV QRW EXGJHWHG RU HVWLPDWHG QXPEHUV 3OHDVH GR QRW URXQG

	0HQ V 7HDPV			:RPHQ V 7HDPV			
9DUVLW\ 7HDPV	3DUWLFLSDQW ([SHQVHV	2SHUDWLQJ 7HDP 3DUWLFLSDQW	%\	3DUWLFLSDQW ([SHQVHV	2SHUDWLQJ 7HDP 3DUWLFLSDQW	%\	7RWDO 2SHUDWLQJ ([SHQVHV
%DVHEDOO							
5RGHR							
6RFFHU							
6RIWEDOO							
7RWDO 2SHUDWLQJ ([SHQVHV 0HQ V DQG :RPHQ V 7HDPV							
&$9($7							

1RWH 7KLV VFUHHQ LV IRU JDPH GD\ H[SHQVHV RQO\

7RWDO ([SHQVHV 0HQ V DQG :RPHQ V

(QWHU DOO H[SHQVHV DWWULEXWDEOH WR LQWHUFROOHJLDWH DWKOHWLF D
DWKOHWLFDOO\ UHODWHG VWXGHQW DLG FRQWUDFW VHUYLFHV HTXLSPHQ
DFWLYLWLHV UHFUXLWLQJ H[SHQVHV VDODULHV DQG EHQHILWV VXSSOLHV
LQWHUFROOHJLDWH DWKOHWLF DFWLYLWLHV

5HSRUW DFWXDO QXPEHUV QRW EXGJHWHG RU HVWLPDWHG QXPEHUV 3OHDVH GR QRW URXQG

9DUVLW\ 7HDPV	0HQ V 7HDPV	:RPHQ V 7HDPV	7RWDO
%DVHEDOO			

7RWDO 5HYHQXHV 0HQ V DQG :RPHQ V

<RXU WRWDO UHYHQXHV PXVW FRYHU \RXU WRWDO H[SHQVHV
(QWHU DOO UHYHQXHV DWWULEXWDEOH WR LQWHUFROOHJLDWH DWKOHWLF D
DQG RSWLRQV DQ DWKOHWLF FRQIHUHQFH WRXUQDPHQW RU ERZO JDPHV F
LQVWLWXWLRQDO VXSSRUW SURJUDP DGYHUWLVLQJ DQG VDOHV UDGLR DQG
VSRUW FDPSV VWDWH RU RWKHU JRYHUQPHQW VXSSRUW VWXGHQW DFWLYL
UHYHQXHV DWWULEXWDEOH WR LQWHUFROOHJLDWH DWKOHWLF DFWLYLWLHV
5HSRUW DFWXDO QXPEHUV QRW EXGJHWHG RU HVWLPDWHG QXPEHUV 3OHDVH GR QRW URXQG

9DUVLW\ 7HDPV	0HQ V 7HDPV	:RPHQ V 7HDPV	7RWDO
%DVHEDOO			
5RGHR			
6RFFHU			
6RIWEDOO			
7RWDO 5HYHQXHV RI DOO 6SRUWV ([FHSW)RRWEDOO DQG %DVNHWEDOO &RPELQHG			
7RWDO 5HYHQXHV 0HQ V DQG :RPHQ V 7HDPV			
1RW $OORFDWHG E\ *HQGHU 6SRUW 5HYHQXHV QRW DWWULEXWDEOH WR D SDUWLFXODU VSRUW RU VSRUWV			
*UDQG 7RWDO IRU DOO 7HDPV LQFOXGHV E\ WHDP DQG QRW DOORFDWHG E\ JHQGHU VSRUW			
&$9($7			

6XSSOHPHQWDO ,QIRUPDWLRQ RSW

7KLV VFUHHQ PD\ EH XVHG WR KHOS WKH UHDGHU EHWWHU XQGHUVWDQG WK
VWXGHQW DWKOHWH PDNH DQ LQIRUPHG FKRLFH RI DQ DWKOHWLFV SURJUDP
7KLV LQIRUPDWLRQ ZLOO EH YLHZDEOH RQ WKH ($'$ SXEOLF ZHEVLWH 3OHD
PHVVDJHV WR WKH KHOS GHVN
7R H[SODLQ VSHFLILF GDWD HQWHUHG RQ D SUHYLRXV VFUHHQ SOHDVH XVH

(TXLW\ LQ $WKOHWLFV 'LVFORVXUH

7KDQN \RX IRU XSGDWLQJ \RXU UHJLVWUDWLRQ LQIR
WKH (TXLW\ LQ $WKOHWLFV 'LVFORVXUH $FW GDW
3OHDVH UHPHPEHU WR FRPSOHWH DQG ORFN \RXU VXUYH\

5HJLVWHUHG .H\KROGHU

7RQL /D%HII
(

7KLV FHUWLILFDWH ZDV SUHSDUHG RQ 6HSWHPEHU

r