

The SAO requires institutions to post their responses to the following three questions:

1. Does the institution employ outside investment advisors or managers and, if so, who are they (provide individual or firm name and address)? Do the outside investment advisors or managers have the authority to make i

id rather than through direct payments, or using selected brokers who will rebate a portion of commissions they receive on trades for the investor)? If the answer to this question is yes, the institution must summarize briefly the guidelines that govern the use of such arrangements.

Northeast Texas Community College does not use soft dollar, directed brokerage or directed commission, commission capture, or similar arrangements as a means of paying investment services.

3. Is the institution associated with an independent endowment or foundation? If the answer to this question is yes, the institution must provide contact information (name and address) of the individual(s) who manage the independent endowment or foundation. The institution must also provide, if available, the market value of the endowment's or foundation's investments.

Northeast Texas Community College is associated with Northeast Texas Community College Foundation. The Foundation is included in accordance with Statement No. 39 of the Government Accounting Standards Board. The outside investment advisor/manager

Empowering Students to Succeed.